



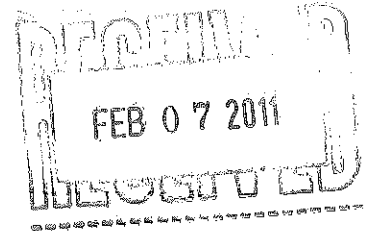
**U.S. Department of Housing and Urban Development**

**MASSACHUSETTS STATE OFFICE, NEW ENGLAND AREA  
Office of Community Planning and Development  
Thomas P. O'Neill, Jr. Federal Building  
10 Causeway Street - Fifth Floor  
Boston, Massachusetts 02222-1092**

**Fax (617) 565-5442**

Teri Anderson  
Community Development Director  
City Hall 210 Main St.  
Northampton, MA 01060

FEB - 3 2011



Dear Ms. Anderson:

**SUBJECT: FY 2009 CAPER Review**

This letter conveys our approval of the City's Consolidated Annual Performance and Evaluation Report (CAPER) for the period ending June 30, 2010.

Our approval is based on a review of the information in the City's CAPER and the statistical and narrative reporting data captured in HUD's Integrated Disbursement and Information System (IDIS).

Our acceptance of this report does not preclude the possibility that issues may arise regarding the City's projects through further data analysis or monitoring.

As a result of our review, we have determined that the City of Northampton has carried out its activities and certifications in a timely manner, consistent with the requirements and primary objective of the Housing and Community Development Act of 1974, as amended, and with other applicable laws and program requirements. Pursuant to 24 CFR 570.905, we find that the City of Northampton has the continuing capacity to carry out its activities in a timely manner.

Thank you for your continued support of HUD's programs. If you have any questions, please contact Scott Cleveland, CPD Representative, at 617-994-8362.

Yours truly,

A handwritten signature in black ink, appearing to read "Robert D. Shumeyko".

Robert D. Shumeyko  
Director

Cc: Peg Keller

**CITY OF NORTHAMPTON**  
**COMMUNITY DEVELOPMENT BLOCK**  
**GRANT PROGRAM**



**CONSOLIDATED ANNUAL PERFORMANCE &**  
**EVALUATION REPORT**

**PROGRAM YEAR 26**  
**JULY 1, 2009 – JUNE 30, 2010**

**COMMUNITY AND ECONOMIC DEVELOPMENT**  
**OFFICE**



**CITY OF NORTHAMPTON**  
**Community and Economic Development Office**  
City Hall, 210 Main Street  
Northampton, MA 01060-3199  
(413) 587-1001 Fax: (413) 587-1275

---

October 7, 2010

Mr. Robert D. Shumeyko, Acting Director  
Office of Community Planning & Development  
U.S. Department of Housing & Urban Development  
Boston Regional Office  
10 Causeway Street  
Boston, MA 02222-1092

ATTN: Scott Cleveland, CPD Program Representative

RE: YEAR 26 CAPER

Dear Mr. Shumeyko:

Enclosed find two copies of the City of Northampton's Consolidated Annual Performance and Evaluation Report (CAPER) for Year 26 Community Development Block Grant activities.

Please feel free to contact me with any questions or concerns you may have (413) 587-1253.

Very truly yours,

A handwritten signature in cursive script that reads "Teri Anderson".

Teri Anderson  
Director



City of Northampton, Massachusetts  
Community and Economic Development Office

**CONSOLIDATED ANNUAL PERFORMANCE AND EVALUATION REPORT  
COMMUNITY DEVELOPMENT BLOCK GRANT PROGRAM**

July 1, 2009 - June 30, 2010  
Municipal Fiscal Year 2010  
CDBG Program Year 26

**PRIORITY NEEDS ADDRESSED**

The Consolidated Plan is a five-year plan that identifies the City's priority needs and highlights those eligible to be addressed with CDBG funds. Each successive year the City prepares an annual Action Plan based on priority needs detailed in the Consolidated Plan. The City's 2005 Consolidated Plan and the Annual Action Plan prepared for Program Year 26 provided relevant guidance on the use of CDBG funds. This annual performance report summarizes this past year's achievements.

The use of CDBG funds in Year 26 corresponds to the priorities listed within those two planning documents. This past year's programming addressed a majority of the high and medium priority needs identified. All CDBG funds programmed this past year, including all available funds remaining from prior years, were directed towards meeting the CDBG National Objective of benefiting persons with low and moderate incomes.

**High Priority Needs Addressed**

- Homeowner Housing Rehabilitation and Repair
- Preservation of Existing Affordable Rental Housing Stock
- Housing Support Services
- Emergency Food Assistance
- Youth Programs
- Services for Special Needs Populations
- Skills & Training Development
- Removal of Architectural Barriers

- Micro Businesses Assistance
- CDBG Administration, Community and Economic Development & Staff Capacity

#### Medium Priority

- Preservation of the Existing Shelter System
- Public Facilities
- Community Centers
- Job Creation and Retention

#### Assessment of Goals and Objectives

The City of Northampton successfully addressed the priorities identified in the 2005 Consolidated Plan and Annual Action Plan. CDBG funding allocations were spread across a diverse array of projects and services, all of which were targeted to meet the City's high and medium priority needs. See page 21 for a detailed description of funded activities and annual accomplishments.

The following information summarizes Program Year 26 funding availability and expenditures:

Funds Available from Prior Years:	\$ 304,604.03
Year 26 Grant Award:	\$ 756,801.00
Program Income Received Year 26:	\$ 36,950.22
<hr/>	
Total Funds Available for Year 26:	\$ 1,098,355.25
<hr/>	
Total Funds Expended During Year 26:	\$ 810,059.03
Total Funds Carried Over to Year 27:	\$ 288,296.22

#### Low / Mod Certification

Low / Mod Funding Percentage	Year 24 / PY2007	100%
Low / Mod Funding Percentage	Year 25 / PY2008	100%
Low / Mod Funding Percentage	Year 26 / PY2009	100%
Public Service Funding Percentage Year 26	\$ 112,790	14.9%
Planning and Admin Funding Percentage Year 26	\$ 146,951.94	19.4%

Each program year, as part of developing the annual CDBG Action Plan, the City evaluates its priority needs and makes adjustments based on this evaluation. The most recent evaluation of priorities occurred early in 2010; as part of developing the new 5 year Consolidated Plan and the Year 27 CDBG Annual Action Plan.

The program and project goals established through contractual agreements with sub-recipient organizations were substantially met. The Council on Aging Home Repair Program, Valley CDC First Time Homebuyer Program and Micro Business Assistance Program, and public service activities all combined to provide much needed assistance to the low and moderate-income residents of Northampton.

For City residents who are renters, CDBG-funded activities included counseling for households that felt they experienced discrimination. Efforts were made to inform real estate professionals and rental property owners of their rights and responsibilities to insure that a rental situation is beneficial for both parties. Additionally, the SRO Outreach program provided housing stabilization support to residents of SRO units through food pantry access and linkages to other service delivery systems. Valley's First Time Homebuyer Program prepared renters looking to purchase homes in the community.

CDBG-funded programs to assist those finding themselves homeless in Northampton included staffing at the City's homeless shelters (Grove Street Inn and Interfaith Cot Shelter). Many of the public services grant recipients carry out homelessness prevention activities, such as Generation Q and the Literacy Project's Passport to Success Program.

CDBG funded programs to help low and moderate income residents meet their basic needs. The Northampton Survival Center received funding for their emergency food pantry and Kid's Summer Food Program, which bridged the summer nutrition gap by distributing free groceries for breakfasts and lunches to Northampton children who rely on the school meal programs during the year. A meals program operated by the Manna Soup Kitchen in downtown was available to the homeless and other persons with limited means.

For those households with low and moderate incomes able to afford homeownership, the Valley CDC Program offered counseling, guidance and referral to HOME assisted units in the area. Financial Literacy workshops, fair housing workshops and First Time Homebuyer Sessions were all offered to insure future homeowners are educated about the home buying process. The Mass Fair Housing Center offered foreclosure prevention assistance to help maintain homeownership once obtained.

Economic development was enhanced by work at the James House Community Learning Center, Valley CDC's Micro Business Counseling Program, the River Valley Market small business loan, and public infrastructure projects undertaken by

the City. Working on projects that provided educational training to enable people to obtain jobs as well as on projects that actually created jobs, was a focus this past year.

The City implemented its Year 26 CDBG Action Plan through the combined efforts of City departments, the Northampton Housing Authority, area non-profit social service agencies, local economic development organizations and non-profit regional and local housing providers.

### Affordable Housing

Presently, approximately 11.8 % of the housing stock in Northampton is affordable. The City's goal is to maintain and expand on this inventory of affordable housing through all means available, including utilization of:

- HUD Community Development Block Grant funding
- HUD Self Help Homeownership Opportunity Funding (through our partner Pioneer Valley Habitat for Humanity)
- HUD McKinney Vento Supportive Housing Program funding
- Federal SAMHSA funds
- HUD VASH vouchers
- Federal Affordable Home Loan Bank Funding
- State HOME funds as well as other available state resources (through our partners the Valley CDC, HAP - The Region's Housing Partnership, MA Department of Housing and Community Development, and Mass Housing Partnership and their affiliated programs).
- Zoning regulations which encourage affordable housing (with private as well as non-profit developers)

The City continued to focus block grant resources towards housing this past year. Specific housing activities funded through block grant allocations were in all cases leveraged by other funding sources, some listed above. CEDO staff capacity was supported by CDBG planning and administration funds and focused on affordable housing creation through preservation and rehabilitation of existing housing stock in partnership with non-profit housing developers and the provision of support services for housing stabilization.

The City of Northampton allocated \$129,043 of its available CDBG funds for housing and homelessness activities. The following components were funded:

1. Council on Aging / Home Repair Program	\$56,188
2. Valley CDC / First Time Homebuyer Program	\$20,000
3. Housing Support Services/ SRO Outreach	\$18,000
4. Homeless Shelter Staffing (Grove Street and Cot Shelter)	\$32,355
5. Fair Housing Services	\$2,500

### Affordable Housing Projects Completed

Valley Inn - 209 Locust Street/ This property had been leased for 20 years by ServiceNet, Inc. to house mentally ill adults through a contract with the Department of Mental Health. This year ServiceNet opted to vacate the site and purchase 2 separate residences in the community. The new properties are closer to commercial districts and provide more opportunities for independent living. Rental subsidies are provided through the State's MRVP Program and are administered by HAP. For these 2 properties and one in Amherst, ServiceNet secured \$845,000 from the Facilities Consolidation Fund (State) and \$495,000 in Housing Stabilization Funds (State) and \$1,050,000 from CEDAC (State) for acquisition. There will be a permanent loan of \$350,000.

Because the Locust Street property owner was the recipient of a CDBG rehab loan through the City, he has an obligation to continue to utilize the Valley Inn to serve residents with low and moderate incomes (or repay the loan). After extensive outreach to other social service agencies and housing providers (to no avail), he has opted to convert the building to 2 apartments and will seek income eligible tenants from the Northampton Housing Authority.

Accomplishment: Two new affordable family units.

Yvonne's House - Straw Avenue - A very exciting housing project came to fruition this past year. Yvonne Freccero, President of Friends of Hampshire County Homeless Individuals and long time homeless advocate, saw a dream come true. Ms. Freccero combined \$100,000 of privately raised funds with \$220,000 from the Community Preservation Committee and purchased a duplex to house chronically homeless adults. The attractive property located in an established residential neighborhood is close to the bike path and public transportation. Four men live on the second level and two women live on the first. The house was purchased by FOH then deeded to ServiceNet, Inc. for on-going ownership and case management.

The concept for the home came about as Ms. Freccero observed some of the same people coming to the winter shelter season after season. She felt that with the presence of support services, those guests could be successfully housed. With ServiceNet, Inc.'s case management assistance, individuals ready to be housed were identified. Out of the original 6 Yvonne's House residents, most had been homeless for many years. Since the program opened in July of 2009, there has only been one turnover. This is Northampton's premiere "Housing First" project and hopes are that Friends of the Homeless will work to replicate it elsewhere in the community. (see attached article).

Accomplishment: Six new affordable units for the chronically homeless.

### Housing Projects Underway

The following projects are underway and have support from both the City



departments and the Northampton Housing Partnership:

- Garfield/Verona Homeownership Units – Development of three duplexes for six affordable homeownership units by Pioneer Valley Habitat for Humanity. Construction on the project began in the spring of 2009. Two units will be finished and occupied before the end of the 2010 calendar year.
- Veterans Affairs Supportive Housing (VASH) Program – Through the Department of Housing and Urban Development, the Northampton Housing Authority was awarded \$324,929 to provide subsidized housing vouchers for homeless veterans. So far, 3 allotments of 35 vouchers each have been received. The NHA is collaborating with the Veterans Affairs Medical Center in Leeds. VA staff assists with housing search and case management, while the NHA does the voucher administration.
- 96-98 King Street - Valley CDC received funding awards in early 2010 to convert an existing building into 10 units of enhanced SRO housing. VCDC secured a State HOME award of \$500,000, \$150,000 from the State's Affordable Housing Trust Fund, \$500,000 from the State's Housing Innovations Fund, \$225,000 from the local Community Preservation Committee. Smith College is contributing \$550,000 from its Affordable Housing Fund as a local match. Also secured were a \$26,000 weatherization and energy loan from Community Action and a \$100,000 permanent loan from Florence Savings Bank. Construction will begin in the fall of 2010.

16 N. Maple Street - The Maples – Valley CDC also secured funding sources this past year to renovate an existing SRO they own and manage in Florence. Improvements will be made to 11 SRO units. Relocation will begin during the summer, with construction commencing in early fall of 2010.

#### Housing Projects Awaiting Funding

- Burts Pit Road Parcel- The Northampton Housing Authority is ready to begin construction on a project being done in collaboration with the Department of Developmental Services (formerly Department of Mental Retardation) to develop a congregate facility. This is the third State Hospital parcel deeded directly to the NHA in the land disposition agreement. The facility will house 10 DDS clients and have environmentally friendly features such as geothermal and passive solar heat design elements. The project has been finalized and permits were secured in 2009. This project is on hold, however, due to the lack of allocated State resources.

#### Housing Projects being Planned

- Laurel Street Parcel – The Northampton Housing Authority is planning for

the development of a parcel that is the fourth and final deeded through the State Hospital disposition. The conceptual plan is to construct 6 duplexes for a total of 12 units targeted for homeownership. The project is on hold considering there are very limited State funding resources for the creation of homeownership units. Moving ahead also requires State legislative approval to revise the Land Disposition agreement which stipulated the parcels be developed for rental housing.

- **Soldier On** – Soldier On has applied to the Veterans Administration for an Enhanced Use Lease for 8 acres on the VA campus in Leeds. If approved, they will apply for a Section 202 loan in the next grant round. The conceptual plan is for 2 detached residential units containing 40 assisted living rooms each. This will enable Veterans currently living in Soldier On's limited equity coops in Pittsfield to transfer their ownership to Leeds as they age and are in need of medical attention. This housing type would complete the impressive continuum of care Soldier On has created for homeless Veteran's, from emergency shelter, to transitional housing, to permanent housing and homeownership to assisted living.

## **Housing and Homelessness Collaborative Efforts**

### *Northampton Housing Partnership*

Northampton has an active housing partnership (since 1990) that also serves as the City's fair housing committee. The Partnership advocates for affordable rental and homeownership units by working with non-profit agencies, private developers and others to create and preserve affordable housing. To actively further fair housing access and opportunity, it continues to work closely with the Massachusetts Fair Housing Center.

This past year, the Northampton Housing Partnership implemented a grant it received to conduct a **Housing Needs Assessment and Strategic Plan**. Funding was secured (\$35,000) from the Community Preservation Committee. Karen Sunnarborg was selected as a consultant and has been working diligently since May of 2009. The plan is in its final stages of creation and adoption as of this writing. The comprehensive document (the first strategic housing plan since 1986) will be utilized to guide policy and CDBG and CPA expenditure decision making. The plan provides estimates of current and anticipated housing needs, specifically for low and moderate income residents for 5 - 10 years and strives to meet the requirements of a Housing Production Plan for submission to the State. Much of the data collected for this effort informed the creation of the new 5 Year Consolidated Plan completed in the spring of 2010.

By official City Charter, the Housing Partnership oversees funding recommendations for CDBG housing activities and reviews all applications made to

the City's housing draw down fund. Funds have been scarce due to the Senior Center debt repayment obligation.

#### Next Step Collaborative

The Next Step Collaborative is the monthly gathering of all housing and homeless service providers in the City. This group, active since 1994, is charged with the creation and sustenance of a coordinated and comprehensive care delivery system for the City's low and moderate-income individuals and families, with specific focus on the homeless. **Facilitated by the City's Senior Housing and Community Development Planner/CDBG Administrator**, providers come together each month to share information about services provided, service gaps, trends, and identify issues that need attention. This venue guarantees that all participants are aware of existing resources and gives agency staff the opportunity to check in with each other, as well as keeping the City informed about housing needs in the community.

#### Three County Continuum of Care

The City of Northampton's Community and Economic Development Office continued to serve as the lead agency for the Three County Continuum of Care, which administers Federal McKinney Vento homelessness funds for the region.

The Continuum includes all of the communities in Franklin, Hampshire and Hampden with the exception of the City of Springfield, which receives its own McKinney award. Last year was the 13<sup>th</sup> year the City has successfully applied for and secured 1.4 million dollars for annually for 14 programs. Continuum meetings are held monthly.

#### Western Massachusetts Network to End Homelessness

CEDO staff participated in the leadership and implementation of the State funded Regional Network this past year. The eighteen month grant began early in 2009 and will conclude at the end of September 2010. The State Inter-Agency on Housing and Homeless awarded over 8 million dollars for the creation of regional networks to coordinate homeless activities and distribute funding through pilot projects. **The Housing and Community Development Planner served on the 14 member Steering Committee, the 40 member Leadership Council and attended Individual Services Committee meetings to maximize service delivery.**

#### Western Massachusetts Inter-Agency Council on Housing and Homelessness

CEDO staff also participated in monthly meetings of the WMIC. This is a gathering of representatives of State agencies, primary homeless service providers and representatives of the 3 Continuums of Care. This body initiated the Housing First/REACH pilot project in Springfield and has spawned extensive regional collaboration. One of the larger efforts involved the hiring of a consultant to respond

to the RFP for the Regional Networks which garnered 1.2 million for Western Massachusetts. The primary purpose of WMIC is to identify barriers to effective service delivery that result from the lack State agency coordination, and work to break down those barriers. The group was ably facilitated by Liz Sullivan, the Western Mass. Regional Director for the Department of Mental Health.

## **Public Housing**

The City of Northampton has a long-term working relationship with the Northampton Housing Authority (NHA). CDBG funds have been committed to the NHA by the City for various public housing improvements over the past several years.

The Mayor of Northampton appoints four of the five NHA commissioners, with the fifth being appointed by the Governor. The NHA provides the City with copies of its annual report and was involved in the consultation process undertaken for development of the 2010 Consolidated Plan and the Year 27 Action Plan.

The City's CDBG Consolidated Plan and the NHA's five-year plan are designed to be consistent in their approach to meeting the housing and social service needs of the City's very low, low, and moderate income population. **The City's Housing and Community Development Planner/CDBG Administrator worked with the Housing Authority this past year to coordinate the goals and objectives of the City's CDBG Consolidated Plan and annual Action Plan with the NHA's five year strategy.**

## **Public Housing Unit Condition and Planned Improvements**

### *Unit Condition*

The Northampton Housing Authority (NHA) rates the general condition of its public housing stock as good. Over the last several years renovations to Northampton's public housing units have improved security lighting, sewer and plumbing systems, and landscaping at Hampshire Heights, and the ventilation, intercom system, and common area lighting at Salvo House. Various Chapter 705 housing sites have been renovated, and a 705 site on Willow Street that was demolished in 1995 has been rebuilt. Most recently the NHA developed six (6) units of special needs housing for MA Department of Mental Health clients on Grove Street (McColgan Apartments) and continues planning activities for development of housing on Burts Pit Road in partnership with the MA Department of Mental Retardation (now known as the Department of Developmental Services).

### Improvements

The following improvements and actions were completed in 2009-2010 program year:

#### Florence Heights

- Community Room upgrades 2009-2010
- Masonry repairs, landscaping improvements 2009-2010
- Metal gate repairs and painting 2009
- Cellar stairwell improvements 2009
- Painting (all buildings) 2009-2010
- Heating / furnace replacement (Ongoing)

#### Tobin Elderly Apartments

- Install automatic door openers 2010

#### Cahill Apartments

- Roof Replacement 2009
- Drainage improvements 2010

#### Salvo House

- New freezer for meals on wheels program located at Salvo House 2009
- Automatic door openers (accessibility improvements) 2010
- Installation of security cameras 2010

#### McDonald House

- Accessibility improvements 2009
- Community Room upgrades 2009-2010
- Install high-efficiency hot water heaters 2009-2010
- Installation of security cameras 2010

#### Grace House

- Construction of a storage shed 2009

#### Salvo House / Senior Center Ground Lease

Specifically, CDBG provided \$5,000 to the Housing Authority for kitchen improvements at Salvo House, a 192 unit building housing elderly and young disabled in the downtown.

### Fair Housing

The City of Northampton and the Northampton Housing Partnership, in its role as Fair Housing Committee, continued to work with the Mass Fair Housing Center,

formally the Housing Discrimination Project of Holyoke during Program Year 26. The focus of the CDBG contract was to develop strategies to remove barriers to fair housing. An on-going issue effecting fair housing choice within Northampton remains cost and availability. The inability to utilize a Section 8 voucher in Northampton due to the high fair market rent rates continues to plague the community. Federal Congressional action is required to adjust the Standard Metropolitan Statistical Area to better reflect current market dynamics.

**The following activities were conducted by the Mass Fair Housing Center (MFHC) over the course of the CDBG program year, reaching 113 city residents:**

- Processed housing discrimination complaints (13)
- Assisted households with foreclosure prevention assistance (3)
- Conducted 3 Anti-Predatory Lending Workshops in conjunction with First Time Homebuyer workshops and Valley CDC at Florence Savings Bank (74)
- Conducted staff training at Safe Passage (Domestic Violence Program) (5)
- Conducted staff and participant training at Grace House (Recovery program for women and their children) (9)
- Did Outreach to Casa Latina and the Northampton Housing Authority
- Held its 4<sup>th</sup> Annual Fair Housing and Civil Rights Regional Conference with 8 workshops on Fair Housing, Predatory Lending, Foreclosure Litigation Strategies, Removing Barriers to Fair Housing, Community Advocacy, CORI and Disability Issues, Healthy Homes and Environmental Justice and Civil Rights Investigation. (Directors from Safe Passage and Casa Latina participated on panels).

### **Other CDBG Activities**

#### **Public Infrastructure Improvements / Year 26**

Installation of bike racks was completed at the Northampton High School and Bridge Street School in downtown Northampton.

#### **Economic Development Activities / Year 26**

River Valley Market (RVM) – In Program Year 23 River Valley Market Inc. received funding for pre-development activities related to the construction of a natural / organic food store co-op. The RVM co-op opened on April 30, 2008 and created 99 new jobs 39 jobs were created/retained; an additional 23 were created/retained this program year for the City's low/moderate income residents.

#### **Public Facility Improvements – Year 26**

Due to unforeseen increases in the cost of the project, the contract for improvements to the kitchen at NHA's Salvo House was amended. The CDBG funds for Year 25

allocated for the project were re-programmed in Year 26 for accessibility improvements at NHA properties and for the purchase of a "flash-freezer" to freeze prepared meals for distribution through the Elder Services Senior Meals program. **A three door freezer allowing a limited number of meals on the premises of the Salvo House was installed and operational in January 2010.** The balance of the CDBG funds will be used in Year 2010 for accessibility improvement at NHA properties.

Improvements were made to Pulaski Park in downtown Northampton to allow for underground utility infrastructure. Conduit was placed for the future burying of overhead utility lines bisecting Pulaski Park to improve the park environment for downtown residents (particularly low income residents of the adjacent South St. Apartments who use the park and its play structure as their "backyard") and to leverage additional future improvements to the park from an adjacent economic development project (hotel development).

Renovations were made at the James House, a municipally owned building being converted into a community learning center. In Program Year 2009, Phase 1 renovations included demolition of the basement holding cell, construction of the children's class room, NCEC/ DTA offices, and Phase 2 ramp construction. The Center for New Americans began offering English language classes and NCEC began outreach and case management services in this program year. The facility served 62 individuals 58 of whom are low/mod income. Phase 2 construction will be completed in Program Year 2010.

Public Facility, Economic Development and Affordable Housing - Environment and Energy Initiatives - Year 26

Senior Center - The Northampton Senior Center received US Green Building Council designation as a Silver-rated Leadership in Environment and Energy Design (LEED) building upon completion of the City's LEED submission in September 2008. A construction site within the urban core, public transportation availability, bike racks, wastewater management, low energy smart lighting as well as geo thermal heating and cooling all contribute to the Silver rating from the US Green Building Council in December of 2008.

River Valley Market Food Co Op - RVM is a LEED-certified green building by the US Green Building Council.

Affordable Housing 46 School Street - Valley CDC's School Street project has been rated as an Energy Star project.

COA Home Repair Program - Work specifications include Energy Star rated appliances and construction materials whenever feasible.

James House Community Learning Center - Phase 1 construction included

installation of energy efficiency improvements and photovoltaic panels.

The CEDO Director participated on a Northampton Chamber of Commerce committee developing a program to educate, assist, and recognize businesses for implementing energy efficiency and sustainable business practices.

### **CDBG-R**

CDBG-R provided a total of \$201,513 in a one-time allocation of funds to the City of Northampton. A Substantial Amendment was made to the Year 25 CDBG Action Plan for use of these funds.

The City of Northampton is using the funds to renovate the James House, a municipally owned building formerly occupied by the Hampshire County Juvenile Court, for conversion into the Community Learning Center. The Learning Center will provide for ABE/ESOL classes, family literacy, early childhood programming, college transition courses, job and skills training, educational testing, and a variety of support services to be co-located under one roof in a central location in downtown Northampton accessible by public transportation. Rehabilitation work will include new heating and electrical systems, design and construction of an accessibility ramp, lead paint abatement, and general carpentry work. Ten percent (10%) of the CDBG-R funds will be used for planning and administration.

Phase 1 renovations to the James House were completed in October of 2009 using \$40,000 in privately donated funding. Phase 1 included demolition of the former holding cells in the basement and construction of offices, a meeting room, and a child care classroom on the first floor. Energy efficiency improvements and photovoltaic panels were installed on the building using a grant from the Massachusetts Technology Collaborative (MTC). Phase 2 renovations using CDBG-R, CDBG, EDI, MTC, and private donations began in November of 2009. The new accessible ramp and sidewalk was completed in June 2010. Design specifications and bid documents for the remaining interior construction work was completed in June 2010. Contracting and construction of the Phase 2 work is expected to be completed in Program Year 2010. \$48,623 for the ramp and \$16,387 for administration in CDBG-R funds were expended as of June 30, 2010. The Center for New Americans began offering English language classes and Northampton Community Education Consortium (NCEC) began outreach and case management services in this program year. The facility served 62 individuals; 58 of whom are low/mod income.

### **LEVERAGING RESOURCES**

Federal, state and private resources within the City of Northampton fluctuate from year to year. At every opportunity the City of Northampton seeks to leverage



CDBG funds with other federal, state, local and private resources (including previously mentioned City CPA funds).

City CDBG, CDBG-R and EDI funded projects that leveraged other funding sources in Year 26 include:

Name of Project	Funding Origin	Funding Source/ Program	Amount committed	Amount expended this program year
Village at Hospital Hill Phase II	Federal	EDI	\$196,000	\$196,000
Senior Center (Project completed)	Federal Fundraising	*CDBG Local	\$1,400,000 \$400,000	\$344,581
James House Learning Center	Federal	CDBG-R	\$172,362	\$48,623
	Federal	CDBG	\$17,868	\$13,084
	Federal	HUD EDI	\$90,000	\$13,400
	Local	Smith College	\$20,000	\$20,000
	Local	Home Depot	\$20,000	\$20,000
	Regional Foundation	Beveridge Foundation	\$20,000	0

\*Senior Center/ prior program years:

CDBG \$360,061 – Year 24

CDBG \$352,821 – Year 25

Other Housing Projects/Units	Funding Origin	Funding Source/ Program	Amount Anticipated	Amount Received
Northampton Housing Authority	Federal	Public Housing Subsidies	\$377,241	\$377,241
	Federal	ARRA / Capital Projects	\$182,526	\$182,526
	Federal	Capital Fund	\$144,198	\$144,198
	Federal	Section 8	\$3,480,932	\$3,480,932
	Federal	Section 8 Mod Rehab	\$163,683	\$163,683

	State	Public Housing Subsidies	\$383,309	\$383,309
	State	Mass Rental Vouchers	\$34,000	\$34,000
	State	Mix Population Grant	\$31,200	\$31,200
	State	Modernization Program	\$132,400	\$132,400
	Federal	Veterans Supportive Housing Program Section 8 Rental Vouchers	\$324,929	\$324,929
Meadowbrook Apartments 222 units	State	Low Income Housing Tax Credits	\$6.5 Million Equity 40-Year Affordability	*tbd
Village at Hospital Hill / Rental subsidies	Federal	Section 8		*tbd

\*to be determined- information requested, not yet received.

Public Service Sub-Recipients	Funding Origin	Funding Source/ Program	Amount Anticipated	Amount Received
Casa Latina	Federal	Northampton CDBG	\$12,435	\$12,435
		Community Action *	\$22,000	\$22,000
	Private	United Way *	\$35,000	\$35,000
		*support services for all Hampshire County		
CHD / Big Brothers Big Sisters	Federal	Northampton CDBG	\$5,000	\$5,000
	State	MA Service Alliance	\$7,500	\$2,490
	Private	United Way	\$7,000	\$10,000
	Private	Fundraising	\$30,000	\$24,500
	Private	Donations	\$7,500	\$13,200
CHD / SRO Outreach	Private	United Way	\$36,000	\$36,000

	Private	Shelter Sunday	\$4,000	\$4,000
		Highland Valley Elder Services	\$5,000	\$5,000
		Valley CDC	\$3,000	\$3,000
Center for New Americans	Federal	Northampton CDBG	\$7,000	\$7,000
	Federal	CSBG Community Action	\$8,500	\$8,500
	State	DOE - ABE	\$6,250	\$6,250
Community Action / First Call for Help	Federal	Northampton CDBG	\$3,000	\$3,000
	Federal	CSBG	\$16,121	\$16,121
	Private	United Way	\$7,635	\$7,635
Community Action / Generation Q	Federal	Northampton CDBG	\$5,000	\$5,000
	Federal	CSBG	\$21,500	\$21,500
	State	DMH	\$63,314	\$63,314
		BAGLY	\$6,512	\$6,512
	State	DPH / Safe Spaces	\$10,660	\$10,660
Freedom Center	Federal	Northampton CDBG	\$2,500	\$2,000
	Private	Resist	\$3,000	\$3,000
	Private	Donation	\$750	\$750
Literacy Project	Federal	Northampton CDBG	\$8,000	\$8,000
	Private	Women's Home Missionary Union	\$4,000	\$4,000
	Private	United Bank	\$500	\$1,000
MANNA	Federal	Northampton CDBG	\$2,500	\$2,500
	Private	Shelter Sunday	\$7,500	\$7,500
	Private	Donations	\$2,000	\$2,000
	Private	Project Bread	\$2,000	\$2,000
	Private	Rice Bowl ( Catholic Charities)	\$1,000	\$1,000
	Private	United Way	\$1,000	\$1,000
	Private	Local Churches	\$5,700	\$5,700
	Private	Fundraising	\$1,000	\$1,000
Mass Fair Housing	Federal	Northampton CDBG	\$2,500	\$2,500
	Federal	HUD	\$275,000	\$275,000
	State	Mass Bar Foundation	\$40,000	\$40,000

Service Net / Cot Shelter	Federal	Northampton CDBG	\$15,355	\$15,355
	Federal	ESG	\$4,373	\$4,373
	State and Local		\$49,884	\$49,884
	Private		\$30,173	\$30,173
		Deferred Payment Loans	\$9,999	\$10,000
Service Net / Grove Street	Federal	Northampton CDBG	\$17,000	\$17,000
	Federal	ESG	\$55,070	\$55,070
	State and Local		\$162,879	\$162,879
	Private		\$97,072	\$97,072
Survival Center	Federal	Northampton CDBG	\$15,000	\$15,000
	Federal	EFSP Grant	\$8,700	\$8,347
	Local	City of Easthampton	\$4,500	\$5,000
	Local	Grant Income	\$20,044	\$42,000
	Private	Project Bread	\$8,000	\$8,000
	Private	Civic Business	\$18,000	\$35,170
	Private	Religious	\$10,000	\$12,245
	Private	Includes Capital Campaign Funds	\$597,738	\$597,738
	Private	United Way/ Donor Choice	\$32,966 \$10,000	\$52,226 \$0
	Private	Open House/Events	\$17,000	\$11,675
	Private	Misc. Grants	\$35,500	\$17,815
	Private	Individual Donations	\$41,000	\$239,469
	Private	T-Shirts		\$60
	Private	Interest and Capital Gains		\$6,084
	Private	Misc. Income		\$1,881
	Private	Schools		\$2,593
	Private	Capital Campaign		\$294,704
<b>OTHER</b>				
Northampton Housing Partnership	Local / State	City CPA Funds	\$ 35,000	\$17,500
McKinney Vento Funding	Federal	Dedicated HMIS project	\$80,080	\$80,080
		Franklin Hampshire	\$100,527	\$100,527

		Permanent Housing		
		Franklin Hampshire Transitional Housing and Mobile Outreach	\$242,300	\$242,300
		Paradise Pond Family Apartments	\$22,312	\$22,312
		Soldier On Transitional Housing	\$72,450	\$72,450
		Vets Village	\$51,675	\$51,675

### Community Preservation Act

In November 2004 the residents of Northampton voted by ballot referendum to adopt the Massachusetts Community Preservation Act (CPA). The CPA allows communities to create a local Community Preservation Fund to raise money through a surcharge of up to 3% of the real estate tax levy on real property for affordable housing, open space protection and recreation, and historic preservation. The act also creates a significant state match of up to 100% of the locally raised CPA funds. CPA monies have been allocated in the City for acquisition, preservation, and creation and restoration of affordable housing. Funds have also been disbursed for the support of housing activities, including first-time homebuyer assistance and foreclosure prevention services.

The Northampton Community Preservation Committee (CPC) was formed in March 2007 to make recommendations to the Mayor and City Council on how to utilize CPA funds. Since its creation, the CPC and the City has awarded a total of \$5,506,004 to forty-three different (43) projects. This new local resource has been used to provide local cash match dollars at a time when local CDBG dollars are allocated to paying the debt service on the Senior Center.

The following CPA projects awarded in 2009 address priority needs listed within the CDBG Consolidated Plan and Year 26 Action Plan:

- ✓ Habitat for Humanity/ Construction of Five Homes - Garfield Avenue: \$60,000 for infrastructure work at the site.
- ✓ City of Northampton/ Central Services Department - Grove Street Inn : \$36,950 for rehabilitation of 3 bathrooms, installation of storm/screen windows and weatherization of the food pantry.

### Institutional Structure

The City re-organized the institutional structure responsible for the CDBG

**Program administration in July of 2009.** A new department, separate from the Office of Planning and Development was created. The Community and Economic Development Office (CEDO) is comprised of a Director of Community and Economic Development, the Housing and Community Development Planner/CDBG Administrator, and the CDBG/SHP Administrative Assistant.

CDBG, the McKinney Supportive Housing Program and other housing and community development programs are components of the new Community and Economic Development Office. The Housing and Community Development Planner/CDBG Administrator is responsible for overall management and coordination of housing and community development activities and services outlined in the Consolidated Plan and annual Action Plan. She oversees all housing and community development contracts and monitors all housing and community development funded activities, working with City Departments, boards and commissions (Department of Public Works, Parks and Recreation, Council on Aging, Housing Partnership), City Hall staff (Conservation/Land Use Planner, Treasurer's Office, Assessor's Office) and with community based development organizations, nonprofit and for-profit housing developers, and community nonprofit public services and anti-poverty organizations. The CEDO director is responsible for overall management and coordination of economic development activities and some public facility activities. The Community Development Assistant is responsible for contract implementation, reporting and financial management.

### **Sub- Recipient Monitoring**

The City's Community and Economic Development (CEDO) Office is responsible for overall management and coordination of activities and services outlined within the annual Action Plan.

On-site programmatic and fiscal monitoring of CDBG activities takes place regularly and includes the following:

- Notification Letter
- On-site monitoring visit and interview with program/activity personnel
- Review of program/activity documentation and financial records with subrecipient personnel using City of Northampton Subrecipient Monitoring Review Form,
- Monitoring Follow-Up Letter

Monitoring visits are undertaken with a focus on assisting the subrecipient to build capacity; better reach their program goals and target population within the City; achieve improved compliance with CDBG regulations and requirements; and provide an opportunity to receive additional technical assistance from City CDBG staff. **Monitoring visits were completed on five CDBG funded programs during 2009.** The remaining visits are planned for July - September of 2010.

A review of all current year CDBG projects was completed on a quarterly basis using a standardized quarterly report form. This review focused on federal regulatory reporting requirements and performance measures as referenced within sub-recipient contracts and scope of services. Quarterly accomplishments were reported in HUD's Integrated Disbursement Information System (IDIS) after their review.

### **Affordable Housing Monitoring**

CEDO has completed an affordable housing monitoring matrix for all affordable housing projects funded with block grant resources over the past 20 years. The City completes "desk monitoring reviews" of all projects *at least* every three years and "on site monitoring reviews" of all projects *at least* every seven years. Monitoring includes ensuring affordable housing units are occupied by income eligible households and that affordable rents are in place in the case of assisted rental units.

### **Anti Poverty Strategy**

The City of Northampton is the county seat for Hampshire County Massachusetts and is the service delivery nexus for many low and moderate-income persons and families. The CDBG program is the City's primary resource for assisting low and moderate-income households in Northampton and is leveraged with other federal and state resources within the jurisdiction. The City undertook its CDBG program for 2009-2010 in consultation with the following local agencies and organizations that serve the low and moderate-income population of the City of Northampton and Hampshire County.

- Community Action of the Franklin, Hampshire and N. Quabbin Regions
- Valley Community Development Corporation Inc.
- United Way of Hampshire County Inc.
- Northampton Housing Authority
- Pioneer Valley Habitat for Humanity Inc.
- ServiceNet, Inc.
- Center for Human Development Inc.
- Department of Transitional Assistance
- Regional Employment Board/Career Center
- Northampton Chamber of Commerce

Hampshire Community Action Commission (HCAC) had served as the CAP agency for the County until May of 2005 when the organization ceased operations. The Hampshire County catchment area has been incorporated into the Franklin County CAP agency. An office and many program components are present in Northampton. Community Action staff are actively involved in the Regional Network to End Homelessness, the Three County Continuum of Care, COSA (Hampshire County Council of Social Agencies) and are Northampton CDBG sub-grantees.

By insuring coordination, providing direct funding through CDBG and the Community Preservation Program and by supporting requests to other funding sources, the City worked to reduce the numbers of households at or below the poverty level.

The strategy to reduce poverty in the City was approached from multiple angles providing an overlapping service and opportunity net that included affordable housing, social and supportive services, alternative educational/career development pathways, and job creation.

## **DETAILED PROJECT UPDATE SUMMARIES AND ACCOMPLISHMENTS**

### **INFRASTRUCTURE IMPROVEMENTS**

#### **Bike Rack Installation - HUD #0674 / Installation of bike racks in eligible areas**

Priority Need: High - Street and Sidewalk Improvements

Project Objective: Create a suitable living environment

Project Outcome: Sustainable neighborhood

Performance Measure: Access/ Improvement to Streetscapes  
Enhanced pedestrian safety, reduce vehicular use

Expenditure: CDBG \$ 2,064.28

Leveraged Funds: \$ 0

Accomplishment: 16 bike racks were installed; twelve (12) at Northampton High School and four (4) at the Bridge Street School

---

### **PUBLIC FACILITIES**

#### **Senior Center Project Delivery Costs - HUD # 0546 / Project delivery costs, project management costs, legal costs, material testing**

Priority Need: High - Senior Center

Project Objective: Create a suitable living environment



**Project Outcome:** Availability of a public facility (senior center)

**Performance**

**Measure:** Construction of a full service senior center

**Expenditure:** CDBG \$ 0

**Leveraged Funds:** City construction financing \$ 1,400,000  
Private fundraising \$ 400,000 (estimated target)

**Accomplishment:** A full service senior center was identified as a high priority need in May 2000. Construction began in the spring of 2006 and was completed in September 2008. It is a fully operational center, with easy access, parking and extensive programmatic and socialization opportunities. Additional outreach to occur to neighboring subsidized elderly housing. Some additional landscaping remains to be done.

---

Senior Center Debt Service - HUD #0596 / Principal and interest payments on debt service

**Priority Need:** High - Senior Center

**Project Objective:** Create a suitable living environment

**Project Outcome:** Availability of a public facility (senior center)

**Performance**

**Measure:** Third installment of the City's debt service for construction

**Expenditure:** CDBG \$ 344,581

**Leveraged Funds:** City construction bond \$ 1,400,000  
Private fundraising \$ 400,000 (estimated target)

**Accomplishment:** A full service senior center has been identified as a high priority need for the City beginning in May 2000. Construction of the senior center began in spring 2006. Construction completed in September 2008.

Pulaski Park Infrastructure - HUD #0628 / Installation of underground utilities

Priority Need: Medium – Park and Recreation Facility Improvements

Project Objective: Create a suitable living environment

Project Outcome: Availability of a safer neighborhood park.

Performance Measure: Completion of utility installation

Expenditure: CDBG \$ 85,780

Leverage Funds: \$ 0

Accomplishment: Conduit and utility pads/handholds installation was completed. The park serves the downtown area (LMA) and is highly utilized by the residents of an adjacent subsidized apartment building.

---

James House Ramp - HUD #0656 / Renovation of a municipally owned building to create a community learning center to provide ABE/ESOL, college readiness, job training, family literacy and family support services in a one-stop center accessible by public transportation

Priority Need: Medium – Other Public Facilities

Priority Objective: Create Economic Opportunities

Project Outcome: Availability of a public facility (community learning center)

Performance Measure: 1 public facility will be renovated to provide ABE/ESOL, college readiness, job training, family literacy, and family support services in a one-stop center accessible by public transportation for purposes of increasing accessibility to economic opportunity.

Expenditure: \$13,084.50

Leveraged Funds: CDBG-R Stimulus Funds \$172,362

CDBG Funds	\$17,868
HUD EDI	\$90,000
Beveridge Foundation	\$20,000
Smith College	\$20,000
Home Depot	\$20,000

**Accomplishment:** Phase 1 renovations completed September 30, 2009. Renovations included demolition of the basement holding cells, construction of classrooms, multi-use meeting room, Northampton Community Education Collaborative and DTA offices. Phase 2 renovations completed this program year include a ramp for accessibility. 62 people served, 58 were low/mod households.

## ECONOMIC DEVELOPMENT

Micro Business Development - HUD # 0658 / Valley CDC micro business development program providing assistance to eligible micro enterprises; business plan development, marketing and access to capital

Priority Need: High - Micro Business Development

Project Objective: Create economic opportunity

Project Outcome: Sustainability of viable communities through economic development activities

Performance Measure: Provide technical assistance to up to 20 individuals interested in creating or growing their businesses

Expenditure: CDBG \$ 20,000

Leveraged Funds: \$ 0

**Accomplishment:** The micro business program provides essential assistance to micro business start-ups and existing businesses seeking to expand. 16 were served.

---

## HOUSING DEVELOPMENT

First Time Homebuyer Program - HUD #0653 / Valley CDC program offering first time homebuyer assistance and direct referrals to HOME assisted units available for homeownership

**Priority Need:** High - Housing Support Services  
**Project Objective:** Provide decent affordable housing  
**Project Outcome:** Availability of affordable homeownership housing  
**Performance Measure:** To serve 45 households.  
**Expenditure:** CDBG \$ 20,000  
**Leveraged Funds:** \$ 0  
**Accomplishment:** The Valley CDC homebuyer program provides assistance and direct referrals to first time homebuyers for HOME assisted units in Northampton and the region. Providing education and referrals to first time homebuyers increases the likelihood of success in securing decent safe and affordable housing. 64 households assisted.

---

Council on Aging (COA) Home Repair Program Administration - HUD # 0684 /  
Administrative costs including salaries and recording fees related to the Council on  
Aging home repair program

**Priority Need:** High - Homeowner Housing Rehabilitation and Repair  
**Project Objective:** Provide decent affordable housing  
**Project Outcome:** Program operation to enable elderly to remain in their homes and rehabilitation of existing housing stock.  
**Performance Measure:** Staff capacity to provide and oversee 3-4 loans and 5-6 grants.  
**Expenditure:** CDBG \$17,263.18  
**Leveraged Funds:** \$ 0  
**Accomplishment:** Program was successfully administered and seven projects were completed during the reporting period allowing elder homeowners to remain independent and in their homes.

COA Home Repair Grant - HUD #0683/ Home repair grants provided to elders as part of the COA home repair program

Priority Need: High - Homeowner Housing Rehabilitation and Repair

Project Objective: Provide decent affordable housing

Project Outcome: Rehabilitation of existing housing stock and maintaining affordability of homeownership housing

Performance Measure: 5-6 grants.

Expenditure: CDBG # 0683- \$1,500

Leveraged Funds: \$ 0

**Accomplishment:** One (1) homeowner received a grant for minor repairs addressing health safety and code issues. Grants up to \$1,500 were available.

---

COA Home Repair Deferred Payment Loans - HUD ##0676; #0677; #0680; #0681; #0682; #0685 / Home repair deferred payment loans provided to elders as part of the COA home repair program

Priority Need: High - Homeowner Housing Rehabilitation and Repair

Project Objective: Provide decent affordable housing

Project Outcome: Affordability of homeownership housing

Performance Measure: 3-4 Loans.

Expenditure: CDBG #0676 - \$8,000  
#0677 - \$6,200  
#0680 - \$7,995  
#0681- \$5,000  
#0682 - \$7,850  
#0685 - \$2,375

Leveraged Funds: \$ 0

**Accomplishment:** The COA home repair program assisted six (6) elders with maintaining their homes and remaining independent. Low interest (2.50%) deferred payment loans up to \$8,000 were available for the repair of major building systems and the correction of code issues. Clients that presented during the program year had work that required a higher dollar amount than could be covered by a grant. Therefore, more loans than grants were administered.

---

## PUBLIC SERVICES

Big Brothers Big Sisters Mentoring Program - HUD 0660/ A mentoring program designed to serve low / mod income children focusing on self esteem issues, addressing isolation and improving educational and social success

Priority Need: High - Youth Programs

Project Objective: Create a suitable living environment

Project Outcome: Availability of youth services

Performance

Measure: To serve 30 Northampton youth and their families.

Expenditure: CDBG \$ 5,000

Leveraged Funds:	Mass Service Alliance	\$ 2,490
	Fundraising	\$ 24,500
	United Way	\$ 10,000
	Individual Donors	\$ 13,200

**Accomplishment:** Program successfully served 30 low income children and their families. Recruitment done at Hampshire and Florence Heights and through the school guidance counselors.

---

Casa Latina Bridges for Latinos - HUD #0659 / Outreach, education, advocacy, case management and service referrals for low and moderate income Latino households in Northampton.

Priority Need: High – Services for Special Needs Populations

Project Objective: Create a suitable living environment

Project Outcome: Create a suitable living environment

Performance Measure: To serve 200 members of the Latino community and facilitate service linkages.

Expenditure: CDBG \$ 12,435

Leveraged Funds: United Way Hampshire County \$ 35,000  
Community Action \$ 22,000

Accomplishment: 231 persons were served through this grass roots, door to door outreach, information and referral program.

---

SRO Outreach Project - HUD #0661 / Supportive services including a food pantry, advocacy and case management for the City's single room occupancy (SRO) residents

Priority Need: High – Housing Support; Basic Needs

Project Objective: Create a suitable living environment

Project Outcome: Availability of housing support services

Performance Measure: To provide housing stabilization and outreach services to 185 residents of the community's SRO's

Expenditure: CDBG \$ 18,000

Leveraged Funds: United Way \$ 36,000  
Shelter Sunday \$ 4,000  
Highland Valley Elder Services \$ 5,000  
Valley CDC \$ 3,000

Accomplishment: The SRO Outreach Coordinator served 491 residents this year.

---

Center for New Americans Computer Skills and Education Classes HUD #0662 /  
Basic computer skills and employment assistance to immigrant children and adults

Priority Need: High – Skills training and development

Project Objective: Create a suitable living environment

Project Outcome: Increased sense of connection in community

Performance  
Measure: To serve 35 individuals.

Expenditure: CDBG \$ 7,000

Leveraged Funds: CSBG Community Action \$ 8,500  
DOE - ABE \$ 6,250

**Accomplishment:** The program served 45 immigrants this year, helping them improve their language skills and increase their educational and employment opportunities.

---

Community Action Generation Q - HUD #0664 / Weekly support and education  
groups for GLBTQ youth, youth of color, and their allies

Priority Need: High – Youth Services

Project Objective: Create a suitable living environment

Project Outcome: Availability and access to local services; academic assistance

Performance  
Measure: To serve 20 at-risk youth.

Expenditure: CDBG \$ 5,000

Leveraged Funds: DMH \$ 63,314  
CSBG \$ 21,500  
BAGLY \$ 6,512  
Safe Spaces / DPH \$10,660

**Accomplishment:** With increased outreach and more events to participate in, this year 103 youth were served.



---

Mental Health Access Project - HUD #0639 / One to one counseling assistance to persons at risk of or suffering from mental illness

Priority Need: High – Access to mental health care

Project Objective: Create a suitable living environment

Project Outcome: Availability of health care

Performance Measure: To serve 600 at risk or experiencing mental illness.

Expenditure: CDBG \$ 2,000

Leveraged Funds: Resist \$ 3,000  
Donation \$ 750

Accomplishment: 301 persons served. Program did not expend all allocated funding.

---

Manna Soup Kitchen - HUD # 0667 / Free meals three days per week provided year round in downtown Northampton through the efforts of Manna and Edwards Church.

Priority Need: High – Basic needs

Project Objective: Create a suitable living environment

Project Outcome: Availability of basic services

Performance Measure: To serve community meals to 150 low income residents.

Expenditure: CDBG \$ 2,500

Leveraged Funds: Project Bread \$ 2,000  
Local Churches \$ 5,700  
Shelter Sunday \$ 7,500  
Catholic Charities \$ 1,000  
United Way \$ 1,000

Fundraising \$ 1,000

**Accomplishment:** Due to increased need in the community, 563 persons were served.

---

Massachusetts Fair Housing Center - HUD # 0668/ Outreach and enforcement activities and other services to eliminate obstacles to securing housing

Priority Need: High - Housing Support Services

Project Objective: Create a suitable living environment

Project Outcome: Accessibility of housing

Performance

Measure: To conduct workshops, agency trainings and process housing discrimination complaints of Northampton residents.

Expenditure: CDBG \$2,500

Leveraged Funds: Mass Bar Foundation \$ 40,000  
Federal funds \$ 275,000

**Accomplishment:** This program addressed impediments to fair housing choice in Northampton; 113 persons served.

---

Service Net Grove Street Inn/ HUD # 0669/ Staff and facility funding for the Grove Street Inn the City's homeless shelter for individuals

Priority Need: High - Housing Support Services  
High - Preservation of the Existing Sheltering System

Project Objective: Create a suitable living environment

Project Outcome: Availability of basic housing

Performance Measure: To provide 115 individuals with an evening meal, overnight shelter and some case management services.

Expenditure: CDBG \$ 17,000

Leveraged Funds:	State Funds	\$ 162,879
	ESG Grant	\$ 55,070
	Private Funds	\$ 97,072

**Accomplishment:** This allocation provides staff capacity to administer the homeless shelter for individuals that operates year round. 132 people were served.

---

Service Net Interfaith Cot Shelter / HUD # 0670 / Staff funding for the City's cold weather shelter which operates November to April each year

**Priority Need:** High - Housing Support Services  
High - Preservation of the Existing Sheltering System

**Project Objective:** Create a suitable living environment

**Project Outcome:** Availability of basic housing

**Performance Measure:** To provide an evening meal, overnight shelter and breakfast in a seasonal program operating during winter months to 225 homeless individuals.

<b>Expenditure:</b>	CDBG	\$ 15,355
---------------------	------	-----------

Leveraged Funds:	ESG Grant	\$ 4,373
	State and Local Funds	\$ 49,884
	Private Funds	\$ 30,173
	DPL	\$ 10,000

**Accomplishment:** This funding allocation creates staff capacity to operate the winter emergency shelter. 269 persons served.

---

Community Action First Call for Help HUD # 0663 / Information and referral services to callers in need of social services.

**Priority Need:** High - Basic Needs

**Project Objective:** Create a suitable living environment

**Project Outcome:** Availability of basic services

**Performance Measure:** To provide I&R services to 400 phone inquiries.

**Expenditure:** CDBG \$ 3,000

**Leveraged Funds:** CSBG \$ 16,121  
United Way \$ 7,635

**Accomplishment:** This program provides information and referral services to callers in need of assistance with accessing community resources. 666 calls were received and service linkages made.

---

The Literacy Project GED Scholarships / HUD #066 Adult basic education and counseling to students (age 16 and over) with transition to post-GED employment and/or higher education

**Priority Need:** High - Skills Training and Development

**Project Objective:** Create a suitable living environment; Create economic Opportunities

**Project Outcome:** Access to higher education and / or gainful employment

**Performance Measure:** To provide services of a Transitions Counselor who assists participants with other challenges being faced as they pursue educational and employment achievement.

**Expenditure:** CDBG \$ 8,000

**Leveraged Funds:** United Bank \$ 1,000  
Women's Home Missionary Union \$ 4,000

**Accomplishment:** This program served 41 people.

---

Northampton Survival Center Emergency Food Pantry / HUD # 0671 Food for Hampshire County Residents

Priority Need: High - Emergency Food Assistance

Project Objective: Create a suitable living environment

Project Outcome: Availability of basic services

Performance Measure: To serve 2,100 with nutritional food supplies.

Expenditure: CDBG \$ 15,000

Leveraged Funds:

State and Local Funds	\$ 5,000
Private Funds	\$ 597,738
United Way	\$ 52,226
Emergency Food & Shelter	\$ 8,347
Project Bread	\$ 8,000
Miscellaneous grants	\$ 42,000

**Accomplishment:** This highly utilized program distributes food to income eligible households, as well as used clothing and makes service referrals. 3,755 persons were served.

---

Planning and Administration HUD # 0672/ Planning expertise for the City of Northampton including comprehensive and community development planning, preservation of affordable housing, economic development, and planning and administration of the CDBG program

Priority Need: High - CDBG Administration, Community Planning and Staff Capacity

Project Objective: Create a suitable living environment

Project Outcome: Sustainability of communities and neighborhoods services

Expenditure: CDBG \$ 146.952

Leveraged Funds: City budget funds, SHP local matching funds

**Accomplishment:** Planning and Administration activities during year 26

- City Sustainability Plan Implementation
- CDBG Project Management for Pulaski Park
- CDBG Project Management for Village Hill-Northampton State Hospital Redevelopment

- CDBG-R Project Management for James House
- CDBG and SHP grant administration
- Next Step Collaborative
- Housing Partnership- Staff Support
- Housing Needs Study and Strategic Plan
- Ad Hoc Social Services Committee- Staff support
- Economic Development, Housing and Land Use Committee – staff support
- Economic Development Planning related to downtown, Fairgrounds, etc.
- Business Assistance programs

### **PUBLIC REVIEW & COMMENT**

The City of Northampton published a legal notice in the Hampshire Daily Gazette and posted this same notice at the Northampton City Clerk's Office and on the web at: [northamptonma.gov/opd/cdbg](http://northamptonma.gov/opd/cdbg) on September 15, 2010. The legal notice informed the public that the CDBG Consolidated Annual Performance and Evaluation Report (CAPER) was available for public review and comment. No comments were received.

### **SECTION THREE REPORTING**

In FY10/Year 26 there were no CDBG, EDI or SHP construction projects that exceeded \$200,000 in CDBG/EDI/SHP funding and no Section 3 thresholds were triggered. Summary reports are attached for CDBG and EDI funds received by the City that were designated for construction projects. The City received \$201,513 in CDBG-R funding in FY10/Year 26. \$181,362 of the CDBG-R project was allocated towards the James House Community Learning Center Renovation Project. No contracts exceeding \$100,000 were awarded with CDBG-R funds in FY10. A Section 3 Summary report is attached for all construction related projects.

---

Prepared By:

Teri Anderson, Director, Community and Economic Development  
 Peg Keller, Housing and Community Development Planner/CDBG  
 Administrator  
 Cam Leon, Community Development Administrative Assistant

For liquor store, 10-15 hours/week including weekends. Cash/Lottery experience helpful, not required. Gay's Package Store, Rte. 5&10, Whatley. No phone calls please

## Cashiers

Flexible shifts including weekends. Must be at least 18 years old. Apply in person, Amherst Mobil, 399 Northampton St., Amherst, EOE.

## Cleaning

Positions available for commercial cleaning company. Part-time nights, Northampton. Experience required. Call 586-4696 ext. 102

## DISTRIBUTION CENTER.

Part-time midnights/weekends inserting newspapers. 20-25 hours per week. Apply at The Daily Hampshire Gazette, 115 Conz. St., Northampton.

## DRIVERS

Busy taxi company looking for part-time local drivers. High commissions paid for motivated, self-starters. Apply in person only at 479 West Street, 6E, Amherst, MA (back of building) Green Transportation.

## SEEKING RESPONSIBLE INDIVIDUAL

to help with household maintenance (laundry, shopping, dishes, cleaning up), light cooking, projects. Must have car/valid license. 2-3 evenings/week, 10-15 hours. (413)585-8643.

## AUTOMOTIVE 605-660

## Autos for Sale 615

**SUBARUS** Dependable, long warranty, service. \$3500 and up. Export Auto, 536-2373.

**BMW 320i** 1978. 4-speed, sunroof, Recaro seats, new tires, 67,000 original miles. Never seen a New England winter. \$9,999. 413-320-8323

**CADILLAC CTS.** 2008 Black. 25,000 miles. 1 owner. Good condition. Transferable 100,000 mile warranty. \$24,000. (413)695-9760

**CHEVY IMPALA** 2005, very good condition, 26k original miles, 1 owner. A/C, On-Star.

reliable, \$1200. (413)584-1377

## Trucks/SUVs/Vans 620

**CHEVY G20** Conversion Van 1992. Loaded, rebuilt transmission, many new parts, ice cold A/C. 160K. Runs like new. \$2200. (413)368-3988.

## Motorcycles/Mopeds 625

**ELECTRIC MOTORCYCLES** (3). Red, yellow, orange. Brand new. 100% electric power 110V. \$1895/each. (413)374-8561.

## Wanted-Automotive 660

**JUNK CARS.** We will remove unwanted vehicles for free. Please call for information. 413-586-1021

**WE PAY CASH** for your unwanted vehicles. Please call 584-9338.

## REAL ESTATE FOR RENT 705-765

## Rental Agencies 705

Free Landlord Service  
[www.RentNoho.com](http://www.RentNoho.com)  
582-0300

## Roommates/Housemates 715

**EASTHAMPTON.** Share 2 bedroom apt. \$500/month includes utilities. 413-203-1926

## Apartments for Rent 720

**CHESTERFIELD** nice 2 bedroom includes heat, washer, dryer, new appliances, heated garage & dumpster \$800. (413)296-4062 or 695-8676

## Pancione Associates

**HOLYOKE** Large apartment in 2 family, 2nd floor, 3 bedroom, new bath, dining & living room carpet. All appliances & hot water included. \$800/month, 1st/last/security, no smoke 413-427-7159

**MONTAGUE.** 2 bedroom, Gas Heat, 2nd Floor, small deck, large yard, laundry on site \$825. 413-367-2461.

## ROBINSON REAL ESTATE 584-9011

\$640+ Easthampton, in town, 2nd floor, 1 bedroom, wood floors, gas heat, parking.

\$1100+ Florence. Bridge Road, 2 bedroom, gas heat, wood floors, deck, parking.

\$1700+ Amherst, 4 bedroom house near UMass, garage, deck, hookups, hardwoods.

Other Rentals Available  
[www.robinsonre.com](http://www.robinsonre.com)

## RentNoho.com

Your Source for Northampton County Rentals  
Please see our website to view our current listings!  
[www.RentNoho.com](http://www.RentNoho.com)  
or call 582-0300 to speak to a FULL-TIME Rental Agent

**NORTHAMPTON.** 2 bedroom near center. Parking, storage. \$825. 667-2233.

**NORTHAMPTON.** 2 bedroom townhome minutes to town, near bike path. Beautiful interior, all appliances, enclosed patio. Superb management services! 586-1405.

**NORTHAMPTON.** 3+ bedroom. Storage, hardwoods. \$1150 no smoking. 413-584-9469

**NORTHAMPTON** Hatfield St. 1 bedroom, 2 family. \$800+ lease. (413)586-6474

**NORTHAMPTON** Main St. Loft, \$1000+ electric. Lease, references. (413)586-6474

**NORTHAMPTON** near town. 1 bedrooms \$700+\$775 inclusive, parking. 585-8504

**THE Taylor Agency**

800-1200 sq. ft. starting at \$600/month. Autumn properties.net (413)527-1580

**EASTHAMPTON.** Retail/office/studio. Central locale. 1100+ sq. ft. High visibility with signage. Ample parking. 413-522-1435.

**NORTHAMPTON** 1st floor 12'x18' professional space. \$600. Conference room, parking, near downtown, 91. David Ostrander 585-9300.

**NORTHAMPTON.** Polpourri Plaza, 241 King St. Well established medical and professional building with 460 sq. ft. suite. \$750. Free parking and conference room. 1 months free rent. No fee. Call Ed (914)946-1321 ext. 11 or (914)490-5992 cell.

**SOUTH DEERFIELD.** Rtes. 5 & 10. 1200 sq. ft. \$1200/month. Call (413)665-3989

## Garage/Space/Land 750

**NORTHAMPTON** State Street, 10X20, dry, secure, 582-7002

## REAL ESTATE FOR SALE 805-875

## Real Estate Web Sites 805

**CANON REAL ESTATE**  
[www.canonre.com](http://www.canonre.com)  
We get results! 527-8311

**COLDWELL BANKER**  
Upton-Massamont Realtors  
[cbupton-massamont.com](http://cbupton-massamont.com)  
Serving Franklin/Hampshire Counties

**DELAP REAL ESTATE**  
[www.delaprealestate.com](http://www.delaprealestate.com)  
158 North King St., 586-9111

**DENNY NOLAN RE**  
[dennynolanrealestate.com](http://dennynolanrealestate.com)  
Homes Specialist! 586-5600

**GOGGINS REAL ESTATE**  
[www.gogginsrealestate.com](http://www.gogginsrealestate.com)  
Northampton's #1 Realtor

**LISA GIBBS REAL ESTATE**  
[www.lisa-gibbs.com](http://www.lisa-gibbs.com)  
Experienced, personalized service. (413)685-0900

**PRUDENTIAL SAWICKI RE**  
[www.prudentialsawicki.com](http://www.prudentialsawicki.com)  
Real Estate needs 549-2600

RIVER VALLEY

Address: 21 Eastwood Dr., Southampton, MA  
Location of property: Pleasant St., Southampton, MA  
Assessor's Map: Map 25, Lot 18A  
Applicant is: Owner  
Nature of application or appeal: Applicant seeks variance to allow a reduction in the frontage requirement from 175' to 78.54' on Parcel A, which is located on the southerly side of Pleasant St. Access for a single family dwelling proposed on the lot will be off Eastwood Dr through a driveway easement on abutting property.  
Applicable Section of Zoning By-law: Section X-J (Variances)  
Zoned: R-R - Rural Residential  
A hearing will be held on 9/22/10, 7:15 pm, Town Hall meeting room  
3145349 September 8, 15

**COMMONWEALTH OF MASSACHUSETTS LAND COURT DEPARTMENT OF THE TRIAL COURT**  
(SEAL)  
Case No. 10 MISC 431612  
To: Burton S. Gorbach  
Sylvia M. Gorbach  
and to all persons entitled to the benefit of the Servicemembers Civil Relief Act, U.S. Bank National Association, as Trustee, of Harborview 2005-13 Trust Fund claiming to be the holder of Mortgage covering real property in Amherst, numbered Unit No. 54, in the Townhouse of Amherst Condominium, 50 Meadow Street given by Burton S. Gorbach and Sylvia M. Gorbach to Mortgage Electronic Registration Systems, Inc. acting solely as nominee for Cash Out Mortgage Corp., a Florida Corporation, d/b/a Sound Mortgage Group, dated May 25, 2005, and recorded at Hampshire County Registry of Deeds in Book 8306, Page 259 which was thereafter Confirmed by a Confirmatory Mortgage recorded in Book 8338, Page 158 and now held by the Plaintiff by assignment, has filed with said court a complaint for authority to foreclose said mortgage in the manner following: by entry and possession and exercise of power of sale. If you are entitled to the benefits of the Servicemembers Civil Relief Act and you object

Worthington, MA 01098  
Unit U28 Thomas & Lori Buckley 25 Grant St., Easthampton, MA 01027  
Sep. 8, 15  
3145831

## City of Northampton Community Development Block Grant (CDBG) Program Consolidated Annual Performance and Evaluation Report

## NOTICE OF AVAILABILITY

The Community Development Block Grant (CDBG) program Consolidated Annual Performance and Evaluation Report (CAPER) for the City of Northampton will be available for public review and comment on September 15, 2010 at the Community and Economic Development Office, Monday through Friday, during normal business hours, 8:30AM to 4:30PM.

The CAPER covers Program Year 26, which began on July 1, 2009 and concluded on June 30th 2010. The annual performance report will also be available for review and comment on line at <http://www.northamptonma.gov/cdbg/>

Peg Keller, Community Development Planner/CDBG Administrator  
City of Northampton, Community and Economic Development Office  
(413) 587-1288  
pkeller@northamptonma.gov  
September 15

3146759

## Commonwealth of Massachusetts The Trial Court Probate and Family Court Docket No. HS10P0564EA NOTICE OF PETITION FOR APPOINTMENT OF ADMINISTRATOR

In the Estate of Marcia Fidelity Kearney  
Late of Northampton, MA 01060

Date of Death: 07/08/2010

To all persons interested in the above captioned estate, a petition has been presented requesting that William J. Hunt Jr. of Northampton, MA or some other suitable person be appointed administrator of said estate to serve Without Surety

Hampshire Probate and Family Court  
33 King Street, Suite 3  
Northampton, MA 01080  
(413) 585-8500

U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
PR 26 - CDBG Financial Summary Report

IDIS

Grantee  
Program Year  
NORTHAMPTON, MA  
2009

PART I: SUMMARY OF CDBG RESOURCES  
01 UNEXPENDED CDBG FUNDS AT END OF PREVIOUS PROGRAM YEAR

02 ENTITLEMENT GRANT 304,604.03  
03 SURPLUS URBAN RENEWAL 756,801.00  
04 SECTION 108 GUARANTEED LOAN FUNDS 0.00  
05 CURRENT YEAR PROGRAM INCOME 0.00  
06 RETURNS 26,012.37  
07 ADJUSTMENT TO COMPUTE TOTAL AVAILABLE 10,937.95  
08 TOTAL AVAILABLE (SUM, LINES 01-07) 1,098,355.25

PART II: SUMMARY OF CDBG EXPENDITURES  
09 DISBURSEMENTS OTHER THAN SECTION 108 REPAYMENTS AND PLANNING/ADMINISTRATION

10 ADJUSTMENT TO COMPUTE TOTAL AMOUNT SUBJECT TO LOWMOD BENEFIT 655,607.09  
11 AMOUNT SUBJECT TO LOWMOD BENEFIT (LINE 09 + LINE 10) 7,500.00  
12 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION 663,107.09  
13 DISBURSED IN IDIS FOR SECTION 108 REPAYMENTS 141,952.94  
14 ADJUSTMENT TO COMPUTE TOTAL EXPENDITURES 0.00  
15 TOTAL EXPENDITURES (SUM, LINES 11-14) 4,999.00  
16 UNEXPENDED BALANCE (LINE 08 - LINE 15) 810,059.03  
288,296.22

PART III: LOWMOD BENEFIT THIS REPORTING PERIOD  
17 EXPENDED FOR LOWMOD HOUSING IN SPECIAL AREAS 0.00  
18 EXPENDED FOR LOWMOD MULTI-UNIT HOUSING 0.00  
19 DISBURSED FOR OTHER LOWMOD ACTIVITIES 655,607.09  
20 ADJUSTMENT TO COMPUTE TOTAL LOWMOD CREDIT 7,500.00  
21 TOTAL LOWMOD CREDIT (SUM, LINES 17-20) 663,107.09  
22 PERCENT LOWMOD CREDIT (LINE 21/LINE 11) 100.00%

LOWMOD BENEFIT FOR MULTI-YEAR CERTIFICATIONS  
23 PROGRAM YEARS(PY) COVERED IN CERTIFICATION PY: 2007 PY: 2008 PY: 2009  
24 CUMULATIVE NET EXPENDITURES SUBJECT TO LOWMOD BENEFIT CALCULATION 2,004,238.62  
25 CUMULATIVE EXPENDITURES BENEFITING LOWMOD PERSONS 2,004,238.62  
26 PERCENT BENEFIT TO LOWMOD PERSONS (LINE 25/LINE 24) 100.00%  
PART IV: PUBLIC SERVICE (PS) CAP CALCULATIONS  
27 DISBURSED IN IDIS FOR PUBLIC SERVICES 112,790.00



U.S. DEPARTMENT OF HOUSING AND URBAN DEVELOPMENT  
OFFICE OF COMMUNITY PLANNING AND DEVELOPMENT  
PR 26 - CDBG Financial Summary Report

IDIS

28 PS UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	500.00
29 PS UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	2,916.32
30 ADJUSTMENT TO COMPUTE TOTAL PS OBLIGATIONS	0.00
31 TOTAL PS OBLIGATIONS (LINE 27 + LINE 28 - LINE 29 + LINE 30)	110,373.68
32 ENTITLEMENT GRANT	756,801.00
33 PRIOR YEAR PROGRAM INCOME	27,131.51
34 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PS CAP	243.41
35 TOTAL SUBJECT TO PS CAP (SUM. LINES 32-34)	784,175.92
36 PERCENT FUNDS OBLIGATED FOR PS ACTIVITIES (LINE 31/LINE 35)	14.08%
PART V: PLANNING AND ADMINISTRATION (PA) CAP	
37 DISBURSED IN IDIS FOR PLANNING/ADMINISTRATION	141,952.94
38 PA UNLIQUIDATED OBLIGATIONS AT END OF CURRENT PROGRAM YEAR	4,408.06
39 PA UNLIQUIDATED OBLIGATIONS AT END OF PREVIOUS PROGRAM YEAR	3,730.50
40 ADJUSTMENT TO COMPUTE TOTAL PA OBLIGATIONS	4,998.00
41 TOTAL PA OBLIGATIONS (LINE 37 + LINE 38 - LINE 39 + LINE 40)	147,629.50
42 ENTITLEMENT GRANT	756,801.00
43 CURRENT YEAR PROGRAM INCOME	26,012.37
44 ADJUSTMENT TO COMPUTE TOTAL SUBJECT TO PA CAP	10,937.85
45 TOTAL SUBJECT TO PA CAP (SUM. LINES 42-44)	793,751.22
46 PERCENT FUNDS OBLIGATED FOR PA ACTIVITIES (LINE 41/LINE 45)	18.60%

<b>User ID:</b> C03442 <b>User Role:</b> Grantee <b>Organization:</b> NORTHAMPTON  <a href="#">Logout</a>	<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 25%;">Projects/Activities</td><td style="width: 25%;">Funding/Drawdown</td><td style="width: 10%;">Grant</td><td style="width: 15%;">Grantee/PJ</td><td style="width: 15%;">Admin</td><td style="width: 10%;">Reports</td></tr></table> <b>Drawdown</b> <b>View Voucher</b>  <a href="#">Return to Search Vouchers</a>	Projects/Activities	Funding/Drawdown	Grant	Grantee/PJ	Admin	Reports
Projects/Activities	Funding/Drawdown	Grant	Grantee/PJ	Admin	Reports		

**Activity Funding**  
[Search](#)

**Drawdown**  
[Create Voucher](#)  
[Search Voucher](#)  
[Approve Voucher](#)

**Receipt**  
[Add](#)  
[Search](#)  
[Search Accounts](#)

**Utilities**  
[Home](#)  
[Data Downloads](#)  
[Print Page](#)  
[Help](#)


**Links**  
[PDF Viewer](#)  
[Support](#)  
[CPD Home](#)  
[RAMPS](#)  
[HUD Home](#)

**Voucher Created For:**  
NORTHAMPTON, MA  
  
**Activity Owner:**  
NORTHAMPTON, MA  
  
**IDIS Voucher #:**  
5148218  
  
**Created By:**  
C03442  
  
**Creation Date:**  
08/09/2010

**Voucher Details**

Line Item #	IDIS Actv ID	Activity Name	Program	Grant Year	Fund Type	Source Name	Source Type	Recipient Name	Prior Year	Drawdown Amount	Line Item Status	Submission Date	Action
1	656	James House Renovations	CDBG	2009	EN	HUD	MC	NORTHAMPTON, MA	N	\$7,500.00	Completed	08/25/2010	<a href="#">View</a>

  
[Return to Search Vouchers](#)

 Session Timeout

<b>User ID:</b> C03442 <b>User Role:</b> Grantee <b>Organization:</b> NORTHAMPTON  <a href="#">Logout</a>	<table border="1" style="width: 100%; border-collapse: collapse;"><tr><td style="width: 25%;">Projects/Activities</td><td style="width: 25%;"><b>Funding/Drawdown</b></td><td style="width: 15%;">Grant</td><td style="width: 15%;">Grantee/PJ</td><td style="width: 15%;">Admin</td><td style="width: 10%;">Reports</td></tr></table>	Projects/Activities	<b>Funding/Drawdown</b>	Grant	Grantee/PJ	Admin	Reports
Projects/Activities	<b>Funding/Drawdown</b>	Grant	Grantee/PJ	Admin	Reports		

**Activity Funding**  
[Search](#)

**Drawdown**  
[Create Voucher](#)  
[Search Voucher](#)  
[Approve Voucher](#)

**Receipt**  
[Add](#)  
[Search](#)  
[Search Accounts](#)

**Utilities**  
[Home](#)  
[Data Downloads](#)  
[Print Page](#)  
[Help](#)

**Links**  
[PDF Viewer](#)  
[Support](#)  
[CPD Home](#)  
[RAMPS](#)  
[HUD Home](#)

[Return to Search Vouchers](#)

**Voucher Created For:**  
NORTHAMPTON, MA

**Activity Owner:**  
NORTHAMPTON, MA

**IDIS Voucher #:**  
5148231


**Created By:**  
C03442

**Creation Date:**  
08/09/2010

[Return to Search Vouchers](#)

Line Item #	IDIS Actv ID	Activity Name	Program	Grant Year	Fund Type	Source Name	Source Type	Recipient Name	Prior Year	Drawdown Amount	Line Item Status	Submission Date	Action
1	672	Planning and Administration	CDBG	2009	EN	HUD	MC	NORTHAMPTON, MA	N	\$4,999.00	Completed	08/25/2010	<a href="#">View</a>

[Return to Search Vouchers](#)

 Session Timeout

## Economic Opportunities for Low- and Very Low-Income Persons

OMB Approval No: 2529-0043  
(exp. 11/30/2010)

HUD Field Office: **Boston**

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (grant no.) B-09-MY-25-0027	3. Total Amount of Award: \$201,513
City of Northampton 210 Main Street Northampton, MA 01060	4. Contact Person Teri Anderson, CEDO Director	5. Phone: (include area code) 413-587-1253
	6. Length of Grant: 7/1/2009 - 6/30/2010	7. Reporting Period: 7/1/2009 - 6/30/2010
8. Date Report Submitted: 9/30/2010	9. Program Code: (Use separate sheet for each program code) 7	10. Program Name: CDBG-R James House

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List Trade	0				
Trade	0				
Trade	0				
Trade	0				
Trade	0				
Other (List)	0				
Total					

8 = CDBG State Administered  
9 = Other CD Programs  
10 = Other Housing Programs

## Part II: Contracts Awarded

### 1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

### 2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

## Part III: Summary

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Section 3 Thresholds not triggered. Award was greater than \$200,000 but award to project was less than \$200,000 and no contracts awarded in FY10.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

## Economic Opportunities for Low – and Very Low-Income Persons

U.S. Department of Housing  
and Urban Development  
Office of Fair Housing  
And Equal Opportunity

OMB Approval No: 2529-0043  
(exp. 11/30/2010)

HUD Field Office: **Boston**

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (grant no.)	3. Total Amount of Award:
City of Northampton 210 Main Street Northampton, MA 01060	B-09-SP-MA-0342	\$95,000
	4. Contact Person	5. Phone: (include area code)
	Teri Anderson, CEDO Director	413-587-1253
	6. Length of Grant:	7. Reporting Period:
	8-13-09 to 8-13-2012	7/1/2009 - 6/30/2010
8. Date Report Submitted:	9. Program Code: (Use separate sheet for each program code)	10. Program Name:
9/30/2010		EDI - James House

A Job Category	B Number of New Hires	C Number of New Hires that are Sec. 3 Residents	D % of Aggregate Number of Staff Hours of New Hires that are Sec. 3 Residents	E % of Total Staff Hours for Section 3 Employees and Trainees	F Number of Section 3 Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List Trade)	0				
Trade	0				
Trade	0				
Trade	0				
Trade	0				
Other (List)	0				
Total					

8 = CDBG State Administered  
9 = Other CD Programs  
10 = Other Housing Programs

**Part II: Contracts Awarded****1. Construction Contracts:**

A. Total dollar amount of all contracts awarded on the project	\$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

**2. Non-Construction Contracts:**

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Section 3 Thresholds Not triggered. Award was less than \$200,000. No contracts awarded as of 6/30/10 under this grant award.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self-explanatory**

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.



## Economic Opportunities for Low – and Very Low-Income Persons

U.S. Department of Housing  
and Urban Development  
Office of Fair Housing  
And Equal Opportunity

OMB Approval No: 2529-0043  
(exp. 11/30/2010)

HUD Field Office: **Boston**

Section back of page for Public Reporting Burden statement

1. Recipient Name & Address: (street, city, state, zip)	2. Federal Identification: (grant no.) B-09-MC-26-2007	3. Total Amount of Award: N/A
City of Northampton 210 Main Street Northampton, MA 01060	4. Contact Person Teri Anderson, CEDO Director	5. Phone: (Include area code) 413-587-1253
	6. Length of Grant: 7/1/2009 - 6/30/2010	7. Reporting Period: 7/1/2009 - 6/30/2010
8. Date Report Submitted: 9/30/2010	9. Program Code: (Use separate sheet for each program code) 7	10. Program Name: CDBG

A	B	C	D	E	F
Job Category	Number of New Hires	Number of New Hires That are Sec. 3 Residents	% of Aggregate Number of Staff Hours of New Hires That are Sec. 3 Residents	% of Total Staff Hours for Section 3 Employees and Trainees	Number of Section 3 Trainees
Professionals	0				
Technicians	0				
Office/Clerical	0				
Construction by Trade (List Trade)	0				
Trade	0				
Trade	0				
Trade	0				
Trade	0				
Other (List)	0				
Total					

\* Program Codes  
1 = Flexible Subsidy  
2 = Section 202/811

3 = Public/Indian Housing  
A = Development,  
B = Operation  
C = Modernization

4 = Homeless Assistance  
5 = HOME  
6 = HOME State Administered  
7 = CDBG Entitlement

8 = CDBG State Administered  
9 = Other CD Programs  
10 = Other Housing Programs

**Part II: Contracts Awarded**

## 1. Construction Contracts:

A. Total dollar amount of all contracts awarded on the project	\$ 0
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

## 2. Non-Construction Contracts:

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

**Part III: Summary**

Indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

The City of Northampton received \$756,801 in CDBG entitlement funds for period 7/1/09 - 6/30/10. No construction projects were awarded for \$200,000 or more. No contracts for construction were awarded for \$100,000 or more. Section 3 requirements were not triggered.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, **Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.**

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

- HUD Field Office: Enter the Field Office name.
1. Recipient: Enter the name and address of the recipient submitting this report.
  2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
  3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
  - 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
  6. Reporting Period: Indicate the time period (months and year) this report covers.
  7. Date Report Submitted: Enter the appropriate date.

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts - Self-explanatory**

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

## Economic Opportunities for Low – and Very Low-Income Persons

OMB Approval No: 2529-0043  
(exp. 11/30/2010)

Section back of page for Public Reporting Burden statement

Part I: Employment and Training (** Columns B, C and F are mandatory fields. Include New Hires in E & F)					
A	B	C	D	E	F
1					
2					
3					
4					
5					
6					
7					
8					
9					
10					
11					
12					
13					
14					
15					
16					
17					
18					
19					
20					
21					
22					
23					
24					
25					
26					
27					
28					
29					
30					
31					
32					
33					
34					
35					
36					
37					
38					
39					
40					
41					
42					
43					
44					
45					
46					
47					
48					
49					
50					
51					
52					
53					
54					
55					
56					
57					
58					
59					
60					
61					
62					
63					
64					
65					
66					
67					
68					
69					
70					
71					
72					
73					
74					
75					
76					
77					
78					
79					
80					
81					
82					
83					
84					
85					
86					
87					
88					
89					
90					
91					
92					
93					
94					
95					
96					
97					
98					
99					
100					

\* Program Codes  
1 = Flexible Subsidy  
2 = Section 202/811

3 = Public/Indian Housing  
A = Development,  
B = Operation  
C = Modernization

4 = Homeless Assistance  
5 = HOME  
6 = HOME State Administered  
7 = CDBG Entitlement

8 = CDBG State Administered  
9 = Other CD Programs  
10 = Other Housing Programs

**Part II: Contracts Awarded****1. Construction Contracts:**

A. Total dollar amount of all contracts awarded on the project	\$ 190,000
B. Total dollar amount of contracts awarded to Section 3 businesses	\$ 0
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving contracts	

**2. Non-Construction Contracts:**

A. Total dollar amount all non-construction contracts awarded on the project/activity	\$ 0
B. Total dollar amount of non-construction contracts awarded to Section 3 businesses	\$
C. Percentage of the total dollar amount that was awarded to Section 3 businesses	%
D. Total number of Section 3 businesses receiving non-construction contracts	

**Part III: Summary**

indicate the efforts made to direct the employment and other economic opportunities generated by HUD financial assistance for housing and community development programs, to the greatest extent feasible, toward low- and very low-income persons, particularly those who are recipients of government assistance for housing. (Check all that apply.)

- ☐ Attempted to recruit low-income residents through: local advertising media, signs prominently displayed at the project site, contracts with the community organizations and public or private agencies operating within the metropolitan area (or nonmetropolitan county) in which the Section 3 covered program or project is located, or similar methods.
- ☐ Participated in a HUD program or other program which promotes the training or employment of Section 3 residents.
- ☐ Participated in a HUD program or other program which promotes the award of contracts to business concerns which meet the definition of Section 3 business concerns.
- ☐ Coordinated with Youthbuild Programs administered in the metropolitan area in which the Section 3 covered project is located.
- ☐ Other; describe below.

Section 3 Thresholds not triggered. Total Award was less than \$200,000. City contracted with MassDevelopment, a quasi-public state agency to implement the work.

Public reporting for this collection of information is estimated to average 2 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. This agency may not collect this information, and you are not required to complete this form, unless it displays a currently valid OMB number.

Section 3 of the Housing and Urban Development Act of 1968, as amended, 12 U.S.C. 1701u, mandates that the Department ensures that employment and other economic opportunities generated by its housing and community development assistance programs are directed toward low- and very-low income persons, particularly those who are recipients of government assistance housing. The regulations are found at 24 CFR Part 135. The information will be used by the Department to monitor program recipients' compliance with Section 3, to assess the results of the Department's efforts to meet the statutory objectives of Section 3, to prepare reports to Congress, and by recipients as self-monitoring tool. The data is entered into a database and will be analyzed and distributed. The collection of information involves recipients receiving Federal financial assistance for housing and community development programs covered by Section 3. The information will be collected annually to assist HUD in meeting its reporting requirements under Section 808(e)(6) of the Fair Housing Act and Section 916 of the HCDA of 1992. An assurance of confidentiality is not applicable to this form. The Privacy Act of 1974 and OMB Circular A-108 are not applicable. The reporting requirements do not contain sensitive questions. Data is cumulative; personal identifying information is not included.

Form HUD-60002, Section 3 Summary Report, Economic Opportunities for Low- and Very Low-Income Persons.

**Instructions:** This form is to be used to report annual accomplishments regarding employment and other economic opportunities provided to low- and very low-income persons under Section 3 of the Housing and Urban Development Act of 1968. The Section 3 regulations apply to any **public and Indian housing programs** that receive: (1) development assistance pursuant to Section 5 of the U.S. Housing Act of 1937; (2) operating assistance pursuant to Section 9 of the U.S. Housing Act of 1937; or (3) modernization grants pursuant to Section 14 of the U.S. Housing Act of 1937 and to **recipients of housing and community development assistance in excess of \$200,000** expended for: (1) housing rehabilitation (including reduction and abatement of lead-based paint hazards); (2) housing construction; or (3) other public construction projects; and to **contracts and subcontracts in excess of \$100,000** awarded in connection with the Section-3-covered activity.

Form HUD-60002 has three parts, which are to be completed for all programs covered by Section 3. Part I relates to **employment and training**. The recipient has the option to determine numerical employment/training goals either on the basis of the number of hours worked by new hires (columns B, D, E and F). Part II of the form relates to **contracting**, and Part III summarizes recipients' **efforts** to comply with Section 3.

Recipients or contractors subject to Section 3 requirements must maintain appropriate documentation to establish that HUD financial assistance for housing and community development programs were directed toward low- and very low-income persons.\* A recipient of Section 3 covered assistance shall submit one copy of this report to HUD Headquarters, Office of Fair Housing and Equal Opportunity. Where the program providing assistance requires an annual performance report, this Section 3 report is to be submitted at the same time the program performance report is submitted. Where an annual performance report is not required, this Section 3 report is to be submitted by January 10 and, if the project ends before December 31, within 10 days of project completion. **Only Prime Recipients are required to report to HUD. The report must include accomplishments of all recipients and their Section 3 covered contractors and subcontractors.**

HUD Field Office: Enter the Field Office name.

1. Recipient: Enter the name and address of the recipient submitting this report.
2. Federal Identification: Enter the number that appears on the award form (with dashes). The award may be a grant, cooperative agreement or contract.
3. Dollar Amount of Award: Enter the dollar amount, rounded to the nearest dollar, received by the recipient.
- 4 & 5. Contact Person/Phone: Enter the name and telephone number of the person with knowledge of the award and the recipient's implementation of Section 3.
6. Reporting Period: Indicate the time period (months and year) this report covers.
7. Date Report Submitted: Enter the appropriate date.

8. Program Code: Enter the appropriate program code as listed at the bottom of the page.
9. Program Name: Enter the name of HUD Program corresponding with the "Program Code" in number 8.

**Part I: Employment and Training Opportunities**

**Column A:** Contains various job categories. Professionals are defined as people who have special knowledge of an occupation (i.e. supervisors, architects, surveyors, planners, and computer programmers). For construction positions, list each trade and provide data in columns B through F for each trade where persons were employed. The category of "Other" includes occupations such as service workers.

**Column B: (Mandatory Field)** Enter the number of new hires for each category of workers identified in **Column A** in connection with this award. New hire refers to a person who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column C: (Mandatory Field)** Enter the number of Section 3 new hires for each category of workers identified in **Column A** in connection with this award. Section 3 new hire refers to a Section 3 resident who is not on the contractor's or recipient's payroll for employment at the time of selection for the Section 3 covered award or at the time of receipt of Section 3 covered assistance.

**Column D:** Enter the percentage of all the staff hours of new hires (Section 3 residents) in connection with this award.

**Column E:** Enter the percentage of the total staff hours worked for Section 3 employees and trainees (including new hires) connected with this award. Include staff hours for part-time and full-time positions.

**Column F: (Mandatory Field)** Enter the number of Section 3 residents that were trained in connection with this award.

**Part II: Contract Opportunities**

**Block 1: Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project/program that were awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Block 2: Non-Construction Contracts**

**Item A:** Enter the total dollar amount of all contracts awarded on the project/program.

**Item B:** Enter the total dollar amount of contracts connected with this project awarded to Section 3 businesses.

**Item C:** Enter the percentage of the total dollar amount of contracts connected with this project/program awarded to Section 3 businesses.

**Item D:** Enter the number of Section 3 businesses receiving awards.

**Part III: Summary of Efforts – Self-explanatory**

Submit one (1) copy of this report to the HUD Headquarters Office of Fair Housing and Equal Opportunity, at the same time the performance report is submitted to the program office. The Section 3 report is submitted by January 10. Include only contracts executed during the period specified in item 8. PHAs/IHAs are to report all contracts/subcontracts.

\* The terms "low-income persons" and very low-income persons" have the same meanings given the terms in section 3 (b) (2) of the United States Housing Act of 1937. **Low-income persons** mean families (including single persons) whose incomes do not exceed 80 percent of the median income for the area, as determined by the Secretary, with adjustments for smaller and larger families, except that

The Secretary may establish income ceilings higher or lower than 80 percent of the median for the area on the basis of the Secretary's findings such that variations are necessary because of prevailing levels of construction costs or unusually high- or low-income families. **Very low-income persons** mean low-income families (including single persons) whose incomes do not exceed 50 percent of the median family income area, as determined by the Secretary with adjustments or smaller and larger families, except that the Secretary may establish income ceilings higher or lower than 50 percent of the median for the area on the basis of the Secretary's findings that such variations are necessary because of unusually high or low family incomes.

CDBG PROGRAM FY10 Year 26 HUD Program Year 2009							
July 1, 2009 - June 30, 2010 (acct. #2103175 -- 10)				7/1/2009	6/30/2010		
Year 26 Funds							
Funds Carried Forward				756,801.00			
Program Income				304,604.03			
				0.00			
Total				1,061,405.03	1,098,355.25		
PROJECT BUDGET	ACTIVITY BUDGET	INCOME	ADJUSTED AMOUNT	EXPENDED 7/12/2010	BALANCE		
1. INFRASTRUCTURE IMPROVEMENTS (acct #2103175 578102 10)							
Sidewalks and Downtown Streetscapes							
9,960.00	-streets and sidewalks HUD #0675	0.00	9,960.00	unencumbered 0.00	2,560.00		
0.00							
3,000.00	-Bikerack Installation - HUD #0674 MOA #2010-22 (FY09 carried over from curb cuts)	0.00	3,000.00	935.72	2,064.28		
10,346.14	-unencumbered FY09 carry-over 10,158.78 (plus 187.36 from bike racks in EN)	0.00	0.00	0.00	0.00		
Streetscape balance							
10,346.14							
23,306.14		0.00	12,960.00	935.72	4,624.28		
2. PUBLIC FACILITIES / ACCESS (acct #2103175 578103 10)							
Senior Center							
5,645.56	-Project Delivery costs - HUD # 0546 (plantings, bench)	0.00	5,645.56	unencumbered 0.00	5,645.56		
3,000.00	-Project Delivery: Salaries - HUD #0546 (peg - \$1,500)	0.00	3,000.00	0.00	3,000.00		
206,000.00	-Debt Service - Principal - HUD #0596 (total principal and interest - \$ 344,581)	0.00	206,000.00	206,000.00	0.00		
138,581.00	-Debt Service - Interest - HUD #0596	0.00	138,581.00	138,581.00	0.00		
Sub-Total				353,226.56	344,581.00		
Pulaski Park - HUD #0628 Contract #254-09 for \$85,780.87							
78,380.87	-Project Delivery and Salaries - HUD #0628 (rate: - \$3,805.79)	0.00	78,380.87	85,780.87	0.00		
5,780.45		0.00	5,780.45	4,835.30	945.15		
Sub-Total				84,161.32	90,616.17		
Municipal Access							
30,000.00	-Forbes Library - HUD #0654 Contract #52-10 add desc in IDIS - proj and activity	0.00	30,000.00	unencumbered 0.00	30,000.00		
1,389.00	-Fairgrounds: ramps - HUD #0655 MOA # add desc in IDIS - proj and activity	0.00	1,389.00	0.00	1,389.00		
Sub-Total				31,389.00	0.00		

PROJECT BUDGET	ACTIVITY		ACTIVITY BUDGET	INCOME	ADJUSTED AMOUNT	EXPENDED 7/12/2010	BALANCE
25,000.00	Salvo House Kitchen Freezer - HUD #0673	Contract #	add desc in IDIS - proj and activity		25,000.00	unencumbered	
				0.00	5,000.00	5,000.00	0.00
	Salvo Kitchen balance						
20,000.00							
	Sub-Total			0.00	5,000.00	5,000.00	0.00
17,867.82	James House: Ramp (Dietz Architects HUD #0656 Contract #87-10 for: \$13,320 (inc. 2 HZ, 1 Gaz pmts)			36,950.22	89,818.04	13,084.50	76,733.54
0.00	James House: Salaries HUD #			0.00	0.00	0.00	0.00
	Sub-Total			36,950.22	89,818.04	13,084.50	76,733.54
15,000.00	Hairston House Rehab HUD #0657	Contract #	cancelled - move to james house	0.00	0.00	0.00	0.00
526,644.70				36,950.22	570,994.92	453,281.67	117,713.25
	3. ECONOMIC DEVELOPMENT (acct #2103175 578104 10)						
	ED PreDevelopment Program				20,804.00	unencumbered	
50,000.00	-River Valley Market - HUD #0586 Contract #197-07			0.00	50,000.00	0.00	50,000.00
20,804.00	-Unencumbered - FY09 carry-over \$20,804 (includes CFI contract #129-01 bal - \$794.87)			0.00	0.00	0.00	0.00
	ED Loan balance						
20,804.00							
20,000.00	Micro-Business Assistance Program - HUD #0658	Contract #50-10		0.00	20,000.00	19,916.52	83.48
90,804.00	Sub-Total			0.00	70,000.00	19,916.52	50,083.48
	4. HOUSING (acct #2103175 578105 10)						
20,000.00	First Time Homebuyer Assistance - HUD #0653	Contract #51-10		0.00	20,000.00	20,000.00	0.00
20,244.70	Housing Rehab: Loans				20,244.70	unencumbered	
0.00	-Unencumbered \$20,244.50 - FY09 carry-over			0.00	0.00	0.00	0.00
	rehab balance						
20,244.70							
	sub-Total rehab loans			0.00	0.00	0.00	0.00











Published on GazetteNET (<http://www.gazettenet.com>)

## Rooms of their own in Florence

By Laurie Loisel  
Created 07/02/2009 - 04:00

column local news northampton

For Don Schneier, the joy of living on Straw Avenue in Florence is manyfold. It's a pretty street, quiet and tree-lined, with nice neighbors. It's convenient, near the bike path and on a bus line, providing easy access to Forbes Library, where he spends a lot of time, and to grocery shopping.

Probably the single best thing about it is his bedroom on the third floor, simple but with essential comforts: bed, comfortable chair, bureau, and desk and chair at the window overlooking the backyard. But while all those things are clearly delightful, what Schneier really likes is the door.

"This was the first time in two years where I was actually able to go some place and shut the door and have my own space," said Schneier. "It's home."

Home is a tidy-looking duplex, tan with green shutters, hanging flower baskets on the porch and a newly planted tree on the front lawn at 32 Straw Ave. It was purchased last year for use as a permanent home for people who have been without a home for years.

Schneier lives in a four-bedroom unit with three other men, while two women live in the second, two-bedroom unit. The house was the brainchild of a longtime local advocate for homeless people, Yvonne Freccero, who spearheaded the effort to buy the house last year and donate it to ServiceNet, the local agency that manages the house.

Residents all live independently, pay income-based rent to ServiceNet and have access to support services provided by the agency, if they want. But primarily, they are tenants.

"We sign a lease, we pay rent, we get a receipt and we have obligations," said Schneier.

Tenants share common space in the kitchen, living room, yard and porches, but they don't live like roommates in a communal house. Their bedrooms, for example, each have locks on the doors.

For Freccero, that simple business arrangement is a dream come true.

"I think in the beginning, people didn't think you could do this and not have someone (staff) living in the building, but I stuck to my guns," she said.

For more than a decade, Freccero has seen to it that homeless people in this city have a refuge, first through a faith-based initiative that provided emergency shelter in different houses of worship every night, and then in the effort to open a single site in 2002 at 43 Center St.

But over those many years, Freccero became aware that something wasn't right. The shelters were meant as emergency housing, yet there were so many chronically homeless people who stayed at the shelters day after day, week after week. She began searching for a way to help these people.

"It's impossible to put your life together if you're living in a shelter," she said.

Freccero, founder and president of the board of the private, nonprofit Friends of Hampshire County Homeless Individuals Inc., brought the issue to her board.

What if they bought a house specifically to offer a permanent home to people who had spent years bouncing around emergency shelters, people well-known to those who work there?

"We were aware that 40 percent of people in the shelter were chronically homeless, and we wanted them to not be in a shelter," she said.

In a matter of months, the Friends had found a suitable house and secured funding - \$220,000 in Community Preservation Act money with the rest of the \$320,000 purchase price from the Friends.

"We squeezed every penny we had," said Freccero. "We kicked in \$100,000 and we emptied our coffer."

The Friends then deeded the house to ServiceNet, which collects the rent, assessed at a percentage of tenants' income, pays the bills and provides basic maintenance. Tenants take care of their units and mow the lawn.

Freccero says the Straw Avenue house is a huge success.

"I think it's achieved its purpose," she said.

Others do, too. To honor Freccero for the role she played, ServiceNet named the house for her with a plaque engraved "Yvonne's House," on a rock in the backyard.

On Sunday, friends, family, and activists for homeless people threw a surprise party in her honor in the form of a midday barbecue at 32 Straw Ave.

Freccero was invited on the pretense of a cookout with residents, but when she arrived she was surprised by about 40 people, including her daughter, who came in from New York City.

Freccero said she was completely surprised, so much so that when a friend informed her he couldn't make the dedication, the surprise wasn't blown. "I just thought, ¿Boy, he got that all wrong," she said.

Schneier, who moved into the house in February, said he was honored to be part of the tribute to Freccero.

"I'm proud to be here, and it's a suitable tribute to Yvonne," he said. "Yvonne is not condescending, and a house like this treats us with respect. If the spirit of Yvonne is here, that's all the better for us."

Laurie Loisel is the Gazette's cities editor and can be reached at [lloisel@gazettenet.com](mailto:lloisel@gazettenet.com) [1].

Daily Hampshire Gazette © 2008 All rights reserved

**Source URL:** <http://www.gazettenet.com/2009/07/02/rooms-their-own-florence>

**Links:**

[1] <mailto:lloisel@gazettenet.com>